

AK

Section 4

Patent  
Attorney Docket: 266/013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: )  
Inventor: HUBBEL, Jeffrey A et al. ) Group Art Unit: 1714  
Serial No.: 09/910,663 ) Examiner: not yet assigned  
Filed: July 19, 2001 )  
For: GELS FOR ENCAPSULATION OF )  
BIOLOGICAL MATERIALS )

TRANSMITTAL OF MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f), which was mailed by the Patent Office on \_\_\_\_\_, enclosed are:

- ☐ Declaration
- ☐ Power of Attorney: ☐ Separate or ☐ Combined with Declaration
- ☐ Assignment of the invention to \_\_\_\_\_ (together with PTO Form 1595)
- ☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)
- ☒ Substitute specification on 8 ½ x 11 paper in compliance with 37 CFR § 1.52
- ☒ Substitute drawings on 8 ½ x 11 paper in compliance with 37 CFR § 1.84

LA-214681.1

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

OCTOBER 29, 2001  
Date of Deposit

MIYABI GRACE  
Name of Person Mailing Paper  
Miyabi Grace  
Signature of Person Mailing Paper

09/910,663-1001

## II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- ☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$200.00	\$400.00
<input type="checkbox"/> three months	\$460.00	\$920.00
<input type="checkbox"/> four months	\$720.00	\$1,440.00
<input type="checkbox"/> five months	\$980.00	\$1,960.00

Fee

- ☐ If any extension fee is required, please consider this a petition therefor.

## III. FILING FEES

- ☒ Applicant claims small entity status pursuant to 37 CFR 1.27.

<b>BASIC FILING FEE:</b>				\$740.00
Total Claims	-	20	= 0 x \$18.00	\$0.00
Independent Claims	-	3	= 0 x \$84.00	\$0.00
Multiple Dependent Claims	\$280	(if applicable)	<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)	<input checked="" type="checkbox"/>	\$130.00
<b>TOTAL OF ABOVE CALCULATIONS</b>				\$870.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.				\$435.00
Extension of Time (from above)				\$0.00
Assignment -- \$40 (if applicable)				\$0.00
<b>TOTAL FEES SUBMITTED HERewith</b>				\$435.00

## IV. METHOD OF PAYMENT OF FEES:

- ☒ A check in the amount of \$435.00 is enclosed to cover the above fee(s).
- ☐ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \_\_\_\_\_.

TOTAL FEE \$435.00



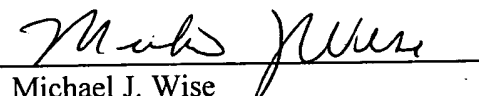
The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. 12-2475 for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: 10-29-01

By:



Michael J. Wise  
Reg. No. 34,047

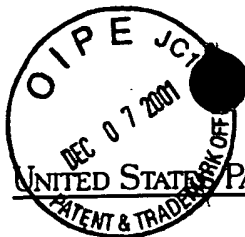


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PATENT TRADEMARK OFFICE

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633 W. Fifth Street  
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120701-120701-120701



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,663	07/19/2001	Jeffrey A. Hubbell	266/013

CONFIRMATION NO. 4623

22249  
LYON & LYON LLP  
633 WEST FIFTH STREET  
SUITE 4700  
LOS ANGELES, CA 90071

## FORMALITIES LETTER



\*OC000000006486161\*

Date Mailed: 08/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/10/2001 BABRAHA1 00000073 09910663

FILED UNDER 37 CFR 1.53(b)

370.00 OP  
65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - 1-146 do not comply with the size requirements (8 ½ by 11 inches or 21.0 by 29.7 cm). *The drawings filed are unacceptable because:  
Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - are not on the correct size paper (see 37 CFR 1.84(f)) The size of the sheets on which drawings are made must be either 21.0 cm. by 29.7 cm. (DIN size A4) or 21.6 cm. by

27.9 cm (8 ½ by 11 inches);

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*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to read "Amad", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09910653-120704  
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